

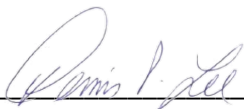
Directive

TITLE: Health Papers
NUMBER: 01-21

The Racing Commission is hereby notifying the Racing Secretary and the Racing Industry that Nebraska Rule of Racing 9.001.15 will be strictly enforced. The association conducting the racing meet is responsible for compliance with this rule. The following points are covered by this directive:

- Every horse that comes on the grounds of any racetrack in Nebraska is required to have an official health certificate
- Included in this requirement are any horses that will be in race training, pony horses, companion horses and ponies, and young animals stabled on the grounds for any reason.
- A federally accredited veterinarian must examine each horse at the farm or stable of origin in order to certify the animal free of infectious diseases. This includes a temperature reading.
- Copies of an official health certificate must be filed with the appropriate state jurisdiction as well as the copy that will accompany the horse to the track.
- These certificates are current for a period of thirty days.
- Any horse that travels from track to track within Nebraska without leaving the stable area for more than 30 days will not be required to have a new certificate issued each time there is a change of venue within Nebraska.
- A horse who is shipped out of state to compete at another track, or a horse that is taken to an off track site for greater than 30 days will be required to have a new health exam prior to being allowed back on the grounds.

- These health certificates will be filed with the race office along with the foal papers for each horse. Pony people will be expected to produce proper papers for their animals but may keep them in their files.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

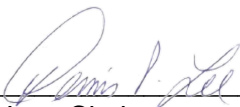
Date

Directive

TITLE: Jockey Mount Fees
NUMBER: 02-21

The Nebraska Racing Commission hereby adopts the following directive to address the discrepancies with Jockey Mount Fees in Rule 11.005.01 in its Rules and Regulation printed in its 2007 addition. The Jockey Mount Fees should be as follows:

Purse	Winner	Second	Third	Losing
3,000-4,999	10%	\$70.00	\$60.00	\$55.00
5,000-9,999	10%	\$80.00	\$65.00	\$60.00
10,000-14,999	10%	5%	5%	\$65.00
15,000-24,999	10%	5%	5%	\$70.00
25,000-49,999	10%	5%	5%	\$80.00
50,000-99,999	10%	5%	5%	\$95.00
100,000 & Up	10%	5%	5%	\$120.00



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Regulation of Androgenic-Anabolic Steroids
NUMBER: 03-21

The Nebraska Racing Commission hereby directs that the following be followed for the 2021-racing season:

The Nebraska Racing Commission hereby directs that the following be followed for the 2020 racing season:

- (1) No AAS shall be permitted in test samples collected from racing horses except for endogenous concentrations of the naturally occurring substances **boldenone, nandrolone**, and testosterone at concentrations less than the indicated thresholds.
- (2) Concentrations of these AAS shall not exceed the following free (*i.e.*, not conjugated) steroid concentrations in plasma or serum:
 - (a) Boldenone – A confirmatory threshold not greater than 25 picograms/milliliter for all horses, regardless of sex;
 - (b) Nandrolone – A confirmatory threshold not greater than 25 picograms/milliliter for fillies, mares, and geldings; males horses other than geldings shall be tested for Nandrolone in urine;
 - (c) Testosterone – A confirmatory threshold not greater than 100 picograms/milliliter for fillies, mares, and gelding.
- (3) Total concentrations of these AAS shall not exceed the following total concentrations in urine after hydrolysis of conjugates:
 - (a) Boldenone - A confirmatory threshold not greater than 1 nanogram/milliliter for fillies, mares, and geldings; a confirmatory threshold not greater than 15 nanograms/milliliter in male horses other than geldings;
 - (b) Nandrolone - A confirmatory threshold not greater than 1 nanogram/milliliter for fillies, mares, and geldings; a confirmatory threshold not greater than 45 nanograms/milliliter (as 5 α -estrane-3 β ,17 α -diol) of urine in male horses other than geldings;
 - (c) Testosterone – A confirmatory threshold of not greater than 55 nanograms/milliliter of urine in fillies and mares (unless in foal); a confirmatory threshold of not greater than 20 nanograms/milliliter in geldings
- (4) Any other AAS are prohibited in racing horses.
- (5) The sex of the horse must be identified to the laboratory on all pre-race and postrace samples designated for AAS testing.
- (6) If an anabolic steroid has been administered to a horse in order to assist in its recovery from illness or injury, that horse may be placed on the Veterinarian's List in order to monitor the concentration of the drug or metabolite in urine or blood. After the concentration has fallen below the designated threshold for the administrated AAS, the horse is eligible to be removed from the list.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

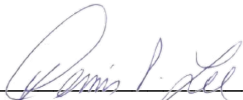
Directive

TITLE: Cancel Delay
NUMBER: 04-21

Rule 23.001.04 (9) (b) - Cancel Delay

The Racing Commission is hereby notifying Nebraska Track Managers, Mutuel Managers and the Racing Industry that Nebraska Rule of Racing 23.001.04 (9)(b), "The period allowed for the cancellation of tickets may not exceed ten (10) seconds or such lesser time as the Commission may establish" will be changed to a zero (0) cancel delay. The association conducting racing meets in the state is responsible for compliance with this rule.

The Racing Commission believes that changing the cancel delay to zero is an important step toward helping to insure the integrity of the mutuel pools in Nebraska.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Test Barn Staff Using Needles
NUMBER: 05-21

Pursuant to Rule 18.019 of the Nebraska Rules of Racing, the Nebraska Racing Commission is authorizing veterinarian technicians approved by the Official Veterinarian and working in the test barn the authorization to use and possess hypodermic needles and syringes.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

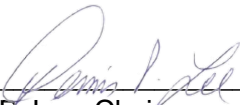
Directive

TITLE: Wearing Licenses
NUMBER: 06-21

The Nebraska Racing Commission is concerned about security at our racetracks. To help increase security, the Racing Commission is hereby directing that all licensees must wear their Nebraska Racing Commission license while on the grounds of an association. This includes all horsemen, mutuel tellers, and security officers, commission employees, racing officials and racing office staff.

The license must be visible at all times.

Licensees needing a clip for their license or a clip hole punched in their license, please contact the license office at the track.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021


Date

Directive

TITLE: Jockey/Veterinarian License
NUMBER: 07-21

The Nebraska Racing Commission directs that the following be immediately implemented:

A person licensed as a jockey or veterinarian may not be licensed in another capacity.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

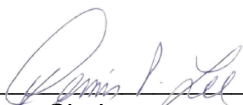
Date

Directive

TITLE: Rule 23.001.13
NUMBER: 08-21

The Nebraska Racing Commission hereby grants the State Steward the authority to waive NSRC Rule 23.001.13 (6) (7) (8) if he believes that the waiving of the rule is in the best interest of the Nebraska racing industry.

The Commission further authorizes the State Steward the authority to determine if exacta, trifecta, or superfecta should be offered if the number of "betting interests scheduled to start" is below the numbers indicated in 23.001.13 (6) (7) (8).



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

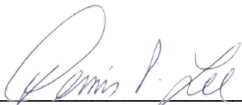
TITLE: Revocation
NUMBER: 9-21

Licensees Requirement to Report Suspensions or Revocations

The Nebraska Racing Commission directs that the following be immediately implemented:

Requires that a licensee disclose to the stewards and the Racing Commission any suspensions or revocation from any other jurisdiction while his/her Nebraska license is in effect no later than seventy-two (72) hours after the ruling is issued.

Failure to disclose this information will result in disciplinary action by the Racing Commission or the Stewards.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

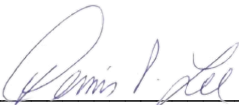
Date

Directive

TITLE: Saddling Horses in the Paddock
NUMBER: 10-21

The Nebraska Racing Commission directs that the following be immediately implemented:

All horses are expected to be saddled in their assigned stalls in the paddock. The paddock judge or stewards must approve any deviation from this directive prior to the horse entering the paddock.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Approved Safety Vests
NUMBER: 11-21

The Nebraska State Racing Commission Rule is as follows:

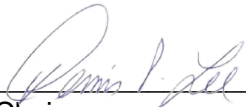
11.001.08 - In riding a race, a jockey must be neat in appearance. All riders must be dressed in clean jockey costume, cap and jacket of silk, satin or waterproof, white or light breeches and top boots. In all races, jockeys must wear approved safety helmets and safety vests, the weight of which shall not be included in the jockey's weight.

The list below is the Safety Vests that the Nebraska State Racing Commission has approved:

The safety vest must comply with one of the following minimum standards or later revisions:

- (a) British Equestrian Trade Association (BETA):2000 Level 1
- (b) Euro Norm (EN) 13158:2000 Level 1
- (c) American Society for Testing and Materials (ASTM) F2681-08 or F1937
- (d) Shoe and Allied Trade Research Association (SATRA) Jockey Vest Document M6 Issue 3
- (e) Australian Racing Board (ARB) Standard 1.1998

A safety helmet or a safety vest shall not be altered in any manner nor shall the product marking be removed or defaced.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Approved Safety Helmets
NUMBER: 12-21


The Nebraska State Racing Commission Rule is below:

11.001.08 - In riding a race, a jockey must be neat in appearance. All riders must be dressed in clean jockey costume, cap and jacket of silk, satin or waterproof, white or light breeches and top boots. In all races, jockeys must wear approved safety helmets and safety vests, the weight of which shall not be included in the jockey's weight.

The list below is the Safety Helmets that the Nebraska State Racing Commission has approved:

The helmet must comply with one of the following minimum safety standards or later revisions:

- a) American Society for Testing and Materials (ASTM 1163)
- b) European Standards (EN-1384 or PAS-015 or VG1)
- c) Australian/New Zealand Standards (AS/NZ 3838
- d) ARB HS 2012); or Snell Equestrian Standard 2001



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

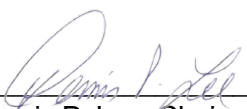
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Directive

TITLE: Riding Crop
NUMBER: 13-21

The Nebraska Racing Commission is hereby notifying the Nebraska Racing Industry that the standards listed below will be the requirements for riding crops used at racing facilities that are licensed by the Nebraska Racing Commission:

- (1) All riding crops are subject to inspection and approval by the stewards and the clerk of scales.
- (2) Riding crops shall have a shaft and a flap and will be allowed in flat racing, including training only as follows:
 - (a) Maximum weight of eight ounces
 - (b) Maximum length, including flap of 30 inches
 - (c) Minimum diameter of the shaft of three-eighths inch; and
 - (d) Shaft contact area must be smooth, with no protrusions or raised surface, and covered by shock absorbing material that gives a compression factor of at least one-millimeter throughout its circumference.
- (3) The flap is the only allowable attachment to the shaft and must meet these specifications:
 - (a) Length beyond the end of the shaft a maximum of one inch
 - (b) Width a minimum of 0.8 inch and a maximum of 1.6 inches
 - (c) No reinforcements or additions beyond the end of the shaft
 - (d) No binding within seven inches of the end of the shafts
 - (b) Shock absorbing characteristics similar to those the contact area of the shaft



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Jockey Insurance
NUMBER: 14-21

The Nebraska State Racing Commission is directing through this directive that all license racing facilities will follow the requirements s below:

- (1) An association shall have on file with the commission a copy of the actual policy and post in the jockeys' quarters a summary of the association's insurance coverage for jockeys who are injured while on the grounds of the association. Upon the request of any licensed jockey who is participating in the race meet, the association must provide a copy of the policy of such insurance. Such request shall be made in writing to a racing official designated by the association in the notice to respond to such requests.
- (2) In the event that the insurance policy is changed during the race meet, the association shall promptly notify the commission and post a notice of any such changes.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Non-Steroidal Anti-Inflammatory Drugs (NSAIDs)
NUMBER: 15-21

The Nebraska State Racing Commission has adopted the following directive pertaining to NSAIDs:

9.001.29 A horse is permitted to race with **one** of three non-steroidal anti-inflammatory drugs (NSAIDs) in its system. Phenylbutazone, Flunixin, or Ketoprofen are approved for use pursuant to rule 14.002 and subsections thereafter and must be declared on the entry card at the time of entry. An NSAID shall not be administered in any form, or be available for any horse through feed or other substances, within the twenty four hours prior to the running of a horse in a race. The presence of more than one NSAID is not permitted in a horse's system on race day.

14.002.01 The use of one of three approved NSAIDs shall be permitted under the following conditions:

Not to exceed the following permitted serum or plasma threshold concentrations which are consistent with administration by a single intravenous injection at least 24 hours before the post time for the race in which the horse is entered:

1. Phenylbutazone (or its metabolite oxyphenylbutazone) –5 micrograms per milliliter;
2. Flunixin – 20 nanograms per milliliter;
3. Ketoprofen – 2 nanograms per milliliter.

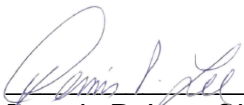
14.002.02 These or any other NSAID are prohibited to be administered within the 24 hours before post time for the race in which the horse is entered.

14.002.03 The presence of more than one of the three approved NSAIDs or any unapproved NSAID in the post-race serum or plasma sample is not permitted. The use of all but one of the approved NSAIDs shall be discontinued at least 48 hours before the post time for the race in which the horse is entered.

14.002.04 Any horse to which a NSAID has been administered shall be subject to having a blood and/or urine sample(s) taken at the direction of the official veterinarian to determine the quantitative NSAID level(s) and/or the presence of other drugs which may be present in the blood or urine sample(s).

14.008.04A No fewer than three horses, or such larger number as may be designated by the Commission, shall be selected at random each race day from horses that are required to report to the test barn. From each of the horses so selected, a blood sample shall be obtained in an amount to be determined by the official veterinarian. These samples shall be designated for quantitative analysis of levels of Phenylbutazone, Flunixin, or Ketoprofen medication by the official laboratory as designated on the entry card. The blood samples shall be in addition to urine samples.

14.008.04B This rule shall not be construed to prohibit the testing of any blood samples for other prohibited drugs, nor to prohibit or prevent quantitative testing for levels of Phenylbutazone, Flunixin, or Ketoprofen in any other blood samples



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Thresholds
NUMBER: 16-21

The Nebraska State Racing Commission has adopted by directive the following Controlled Therapeutic Medication Schedule. This is a modified version of the ARCI Controlled Therapeutic Medication Schedule.

14.011.01 The official blood (serum or plasma) and urine samples may contain only the following therapeutic medications, their metabolites or analogues and shall not exceed the threshold concentrations specified in this rule:

- | | |
|-----------------------|--|
| a) Acepromazine | 10 nanograms per milliliter as 2-(1-hydroxyethyl) Promazine sulfoxide (HEPS) in urine |
| b) Albuterol | 1 nanogram per milliliter of urine |
| c) Betamethasone | 10 picograms per milliliter of plasma or serum |
| d) Butorphanol | 300 nanograms per milliliter of total butorphanol in urine or 2 nanograms of free butorphanol per milliliter per milliliter of plasma or serum |
| e) Cetirizine | 6 nanograms per milliliter of plasma or serum |
| f) Cimetidine | 400 nanograms per milliliter of plasma or serum |
| g) Clenbuterol | 140 picograms per milliliter of urine or Level of Detection in plasma or serum |
| h) Dantrolene | 100 picograms per milliliter of 5-hydroxydantrolene in plasma or serum |
| i) Detomidine | 2 nanogram per milliliter of carboxydetomidine in urine; Level of Detection for detomidine in plasma |
| j) Dexamethasone | 5 picograms per milliliter of plasma or serum |
| k) Dimethyl sulfoxide | (DMSO) 10 micrograms per milliliter of plasma or serum |
| l) Flunixin | 20 nanogram per milliliter of plasma or serum |
| m) Furosemide | 100 nanogram per milliliter of plasma or serum |
| n) Glycopyrrolate | 3 picograms per milliliter plasma or serum |
| o) Guaifenesin | 12 nanograms per milliliter of plasma or serum |
| p) Ketoprofen | 2 nanograms per milliliter of plasma or serum |
| q) Isoflupredone | 100 picograms per milliliter of plasma or serum |
| r) Lidocaine | 20 picograms per milliliter of total 30H-lidocaine in plasma |
| s) Mepivacaine | 10 nanograms total hydroxymepivacaine per milliliter of urine or above Level of Detection of mepivacaine in |

plasma

- t) Methocarbamol 1 nanogram per milliliter of plasma or serum
- u) Methylprednisolone 100 picograms per milliliter of plasma or serum
- v) Omeprazole Omeprazole sulfide – 10 nanograms per milliliter of plasma or serum
- w) Phenylbutazone 5 micrograms per milliliter of plasma or serum
- x) Prednisolone 1 nanogram per milliliter of serum or plasma
- y) Procaine Penicillin (administration must be reported to Commission) 25 nanograms per milliliter of plasma or serum
- z) Ranitidine 40 nanograms per milliliter of plasma or serum
- aa) Triamcinolone Acetonide 100 picograms per milliliter of plasma or serum
- bb) Xylazine 200 picograms per milliliter of plasma or serum

Dennis P. Lee, Chairman
NE Racing Commission

Date

Directive

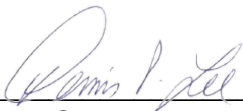
TITLE: Thresholds
NUMBER: 18-21

The Nebraska State Racing Commission has adopted by directive the ARCI Controlled Therapeutic Medication Schedule.

14.011.01 The official blood (serum or plasma) and urine samples may contain only the following therapeutic medications, their metabolites or analogues and shall not exceed the threshold concentrations specified in this rule:

- | | |
|-----------------------|--|
| a) Acepromazine | 10 nanograms per milliliter as 2-(1-hydroxyethyl) Promazine sulfoxide (HEPS) in urine |
| b) Albuterol | 1 nanogram per milliliter of urine |
| c) Betamethasone | 10 picograms per milliliter of plasma or serum |
| d) Butorphanol | 300 nanograms per milliliter of total butorphanol in urine or 2 nanograms of free butorphanol per milliliter per milliliter of plasma or serum |
| e) Cetirizine | 6 nanograms per milliliter of plasma or serum |
| f) Cimetidine | 400 nanograms per milliliter of plasma or serum |
| g) Clenbuterol | 140 picograms per milliliter of urine or Level of Detection in plasma or serum |
| h) Dantrolene | 100 picograms per milliliter of 5-hydroxydantrolene in plasma or serum |
| i) Detomidine | 2 nanogram per milliliter of carboxydetomidine in urine; Level of Detection for detomidine in plasma |
| j) Dexamethasone | 5 picograms per milliliter of plasma or serum |
| k) Dimethyl sulfoxide | (DMSO) 10 micrograms per milliliter of plasma or serum |
| l) Flunixin | 20 nanogram per milliliter of plasma or serum |
| m) Furosemide | 100 nanogram per milliliter of plasma or serum |
| n) Glycopyrrolate | 3 picograms per milliliter plasma or serum |
| o) Guaifenesin | 12 nanograms per milliliter of plasma or serum |
| p) Ketoprofen | 2 nanograms per milliliter of plasma or serum |
| q) Isoflupredone | 100 picograms per milliliter of plasma or serum |
| r) Lidocaine | 20 picograms per milliliter of total 3OH-lidocaine in plasma |
| s) Mepivacaine | 10 nanograms total hydroxymepivacaine per milliliter of urine or above Level of Detection of mepivacaine in plasma |

- | | |
|-----------------------------|--|
| t) Methocarbamol | 1 nanogram per milliliter of plasma or serum |
| u) Methylprednisolone | 100 picograms per milliliter of plasma or serum |
| v) Omeprazole | Omeprazole sulfide – 10 nanograms per milliliter of plasma or serum |
| w) Phenylbutazone | 5 micrograms per milliliter of plasma or serum |
| x) Prednisolone | 1 nanogram per milliliter of serum or plasma |
| y) Procaine Penicillin | (administration must be reported to Commission) 25 nanograms per milliliter of plasma or serum |
| z) Ranitidine | 40 nanograms per milliliter of plasma or serum |
| aa) Triamcinolone Acetonide | 100 picograms per milliliter of plasma or serum |
| bb) Xylazine | 200 picograms per milliliter of plasma or serum |



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Environmental Contaminants
NUMBER: 17-21

The Nebraska State Racing Commission has adopted the following directive pertaining to Environmental Contaminants:

14.007.01 The following substances can be environmental contaminants:
Regulatory thresholds have been set for the following substance:

Arsenic	0.3 micrograms/milliliter total arsenic in urine
Caffeine	100 nanograms/milliliter of serum or plasma
Cobalt	25 ppb in blood or serum
Estradiol	0.045 micrograms/milliliter, free + conjugated 5 α -estrane-3 β , 17 α -diol, in the urine of male horses other than geldings
Gamma Aminobutyric Acide (GABA)	110 nanograms/milliliter of plasma or serum
Hydrocortisone	1 microgram/milliliter of urine
Methoxytyramine	4 micrograms/milliliter, free + conjugated in urine
Salicylate/Salicylic Acid	750 micrograms/milliliter of urine or 6.5 micrograms/serum or plasma
Theobromine	2 micrograms/milliliter of urine or 0.3 micrograms/milliliter serum or plasma



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

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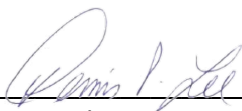
Directive

TITLE: Simulcast Agreements
NUMBER: 18-21

The Nebraska State Racing Commission has adopted the following directive pertaining to Simulcast Agreements:

The Racing Commission finds that simulcasting is very important to the Nebraska Racing Industry and is aware that approving simulcasting agreements is time sensitive and that the Commission may not meet in time to approve simulcasting agreements.

For these reasons the Racing Commission authorized the Executive Secretary the authority to approve simulcasting agreements on a temporary basis until the approval can be ratified by the Commission at a scheduled Commission meeting.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: 5 Year Old Maiden
NUMBER: 19-21


The Nebraska State Racing Commission has adopted the following directive pertaining to Maidens older than 5 years:

The Nebraska Racing Commission is hereby notifying the Nebraska Racing industry that the requirements in NSRC Rules 9.001.16 and 9.001.16A of not allowing Maidens older than 5 years will be waived for the remainder of the 2021 racing season. The Commission is still requiring that horses over twelve (12) years of age must receive special permission from the Commission.

Current NSRC Rules

9.001.16 No maiden older than 5 years will be waived for the remainder of 2021 racing season (5) years of age nor any horse over twelve (12) years of age will be allowed to start in Nebraska unless special permission to deviate from this rule is requested by an association and approved by the Commission.

9.001.16A A maiden five years of age or older, to be eligible to enter or start, must have a record of one or more prior starts at a recognized meet. Any exception to this requirement must be requested by the association and approved by the Commission.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Tattoos
NUMBER: 20-21

The Nebraska State Racing Commission is directing through this directive that all license racing facilities will follow the requirements s below:

The Nebraska Racing Commission hereby adopts the following directive to address the changes to digital tattooing in the racing industry. The current rules from the NSRC 2007 Rules and Regulation are listed below.

13.003.01 It shall be the duty of the official identifier to check all contestants for each and every race and to have all horses properly identified, keeping a record of all identifying marks on solid colored horses and horses starting for the first time according to the published record of the "Chart Books" of the Daily Racing Form and official records of the AQHA.

13.003.02 The official identifier shall check tattoo brands on all horses which have been tattooed for positive identification. The trainer or other designated handler of each horse shall visibly display the lip tattoo to the identifier. If the identifier encounters a horse too unruly to check the lip tattoo, the stewards shall be notified.

13.003.02A No horse shall be allowed to race unless it has been lip tattooed. For good cause, the stewards may waive this requirement if the horse is otherwise properly identified. However, the horse shall be tattooed thereafter within such time as set by the stewards.

Directive changing rule to the below:

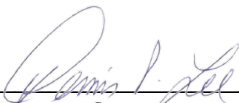
13.003 IDENTIFIER

13.003.01 It shall be the duty of the official identifier to check all contestants for each and every race and to have all horses properly identified, either by Digital Tattoo identification, or by keeping a record of all identifying marks on solid colored horses and horses starting for the first time according to the published record of the "Chart Books" of the Daily Racing Form and official records of the AQHA.

13.003.02 The official identifier shall confirm the Digital Tattoo of the horse with a scanner and an electronic tablet, or the tattoo brands on all horses, which have been tattooed for positive identification. For horses without a Digital Tattoo, the trainer or other designated

handler of each horse shall visibly display the lip tattoo to the identifier. If the identifier encounters a horse too unruly to check the lip tattoo, the stewards shall be notified.

13.003.02A No horse shall be allowed to race unless it has been lip tattooed or Digitally Tattooed. For good cause, the stewards may waive this requirement if the horse is otherwise properly identified. However, the horse shall be tattooed thereafter within such time as set by the stewards.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Equine Injury Database
NUMBER: 21-21

The Racing Commission is hereby notifying the Racing Secretary and the Racing Industry that Nebraska Rule of Racing 9.001.15 will be strictly enforced. The association conducting the racing meet is responsible for compliance with this rule. The following points are covered by this directive:

The Nebraska Racing Commission is concerned with the health, welfare and safety of the horse and the racing industry. With the Commission concerned about these issues, the Commission will be directing all officials and practicing veterinarians licensed in the State of Nebraska to report all horse injuries and deaths that occur in a racing enclosure to the Racing Commission in an expedited manner. The Commission is directing that this will be reported by completing the Jockey Club Equine Injury Database form. These forms will be available in the Racing Commission and Stewards' offices at each Nebraska racing facility. The Commission is directing that the forms be turned in to the Racing Commission or Stewards office within twenty-four hours of an incident. If neither office is open, dark days, submit the form the next available entry of racing day.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date

Directive

TITLE: Use of Riding Crop
NUMBER: 22-21

- (A) Although the use of a riding crop is not required, any jockey who uses a riding crop during a race shall do so only in a manner consistent with exerting his/her best efforts to win.
- (B) In all races where a jockey will ride without a riding crop, an announcement of such fact shall be made over the public-address system.
- (C) No electrical or mechanical device or other expedient designed to increase or retard the speed of a horse, other than the riding crop approved by the stewards, shall be possessed by anyone, or applied by anyone to the horse at any time on the grounds of the association during the meeting, whether in a race or otherwise.
- (D) Riding crops shall not be used on two-year-old horses before April 1 of each year.
- (E) The riding crop shall only be used for safety, correction and encouragement, and be appropriate, proportionate, professional, taking into account the rules of racing herein. However, stimulus provided by the use of the riding crop shall be monitored so as not to compromise the welfare of the horse.
- (F) Use of the riding crop varies with each particular horse and the circumstances of the race.
- (G) Except for extreme safety reasons all riders should comply with the following when using a riding crop:
- (a) Initially showing the horse the riding crop, and/or tapping the horse with the riding crop down, giving it time to respond before using it;
 - (b) Having used the riding crop, giving the horse a chance to respond before using it again;
 1. "Chance to respond" is defined as one of the following actions by a jockey:
 - a. Pausing the use of the riding crop on their horse before resuming again; or
 - b. Pushing on their horse with a rein in each hand, keeping the riding crop in the up or down position; or
 - c. Showing the horse the riding crop without making contact; or
 - d. Moving the riding crop from one hand to the other.
 - (c) Using the riding crop in rhythm with the horse's stride.
- (H) When deciding whether or not to review the jockey's use of the riding crop, Stewards will consider how the jockey has used the riding crop during the course of the entire race, with particular attention to its use in the closing stages, and relevant factors such as:
- (a) The manner in which the riding crop was used
 - (b) The purpose for which the riding crop was used
 - (c) The distance over which the riding crop was used and whether the number of times it was used was reasonable and necessary
 - (d) Whether the horse was continuing to respond.

(I) In the event there is a review by the Stewards, use of the riding crop may be deemed appropriate in the following circumstances:

- (a) To keep a horse in contention or to maintain a challenging position prior to what would be considered the closing stages of a race,
- (b) To maintain a horse's focus and concentration,
- (c) To correct a horse that is noticeably hanging,
- (d) To assure the horse maintains a straight course, or
- (e) Where there is only light contact with the horse.

(J) Prohibited use of the riding crop includes but are not limited to striking a horse:

- (a) On the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse;
- (b) During the post parade or after the finish of the race except when necessary to control the horse;
- (c) Excessively or brutally causing welts or breaks in the skin;
- (d) When the horse is clearly out of the race or has obtained its maximum placing;
- (e) Persistently even though the horse is showing no response under the riding crop; or
- (f) Striking another rider or horse.

(K) After the race, horses will be subject to inspection by a racing or official veterinarian looking for cuts, welts or bruises in the skin. Any adverse findings shall be reported to the Stewards.

- (a) The giving of instructions by any licensee that if obeyed would lead to a violation of this rule may result in disciplinary action also being taken against the licensee who gave such instructions.



Dennis P. Lee, Chairman
NE Racing Commission

January 11, 2021

Date