Nebraska Racing and Gaming Commission Key Persons License Renewal Application

RRASK

Key Person

Management/Parent

Company

KEY PERSON LICENSE RENEWAL APPLICATION

Management/Parent Company

Office Use Only				
1st year License Date:				
FP Date:				
Fee: How Pd:				
Clerk:				

RENEWAL LICENSE FEE:

Year 2 and Year 3 - \$2,000 per year

- Application must be type written or CLEARLY PRINTED in black ink. All questions must be answered
 in full. If a question is not applicable, so state. Applications which are not complete and legible
 will not be considered.
- If the space provided is not sufficient for complete answers or you wish to furnish additional
 information, attach additional sheets of the same size and number answers to correspond with the
 question.
- Please do not use extra staples, paper clips, or tabbed pages.

I. DUTY TO UPDATE INFORMATION

- A. Any person who applies for **or** holds a Key Person License has a continuing duty to update changes to any of the information that they are required to provide or have provided to the Commission, including information contained in this application as well as information required by Nebraska Adm. Code Title 296 Rule 3.007.07.
- B. To fulfill this continuing duty to update, the person must:
 - 1. Submit information about the change to the Commission **in writing** and no later than **ten days** after the change occurs; and
 - 2. Include their name and license number (ifapplicable).

II. IMPORTANT NOTICES

- A. Should you be unable to fully understand this application in English, it is your responsibility to obtain adequate means of interpretation or translation, as applicable.
- B. All notices regarding your application will be sent to the address that you provide on this application. You must notify the Commission of any change of address in accordance with Nebraska Adm. Code Title 296 Rule 3.008.04.
- C. Any Casino Gaming Employee License issued by the Commission is a revocable privilege and is not transferable. No licensee has a vested right in or under a Casino Gaming Employee License issued by the Commission.

[Remainder of page intentionally left blank.]

PERSONAL DATA

FULL LEG	GAL NAME:				
		Last	First	Middle	
RACETRA	ACK GAMING OPERA	ATOR:			
ADDRES	S OF EMPLOYER:	Street or PO Box Number			
				City, State, Zip Code	
EXECUTI	IVE POSITION HELD:		-		_
BUSINES	SS PHONE: ())			
SUPERV	ISOR if any:				
A.	Date of Birth:		Social Security Nun	nber:	
	Gender: Mal	e Female	Place of Birth:		
	Height:	Weight:	Race:		
	Eyes:	Hair:			
Driver's License Number:		ımber:		_State issued	
			<u>RESIDENCE</u>		
В.	Current Home Add	ress:			
		Street	or PO Box Number, City	, State, Zip Code	
C.	Home Telephone:	()	Ce	II: ()	
D.	Email Address:				

Please answer the following questions as they relate to your Key Person License since the last time you submitted your initial application or last renewal application form.

Please provide your most recently filed tax statements.

1.	Are you current in filing all required income tax returns?						
	☐ No ☐ Yes	If you answered NO , please explain.					
2.	Are you delinquent i	n the payment of federal, state or city tax required under state or federal law?					
	☐ No ☐ Yes	If you answered YES , please explain and submit documentation from the tax authority indicating the delinquency.					
3.		cation has there been any court or formal proceeding filed against you to adjust, therwise work out the payment of any debt, including garnishment of wages?					
	☐ No ☐ Yes	If you answered YES , please explain and submit relevant documentation.					
4.	Since your last appli	cation have you filed proceedings for bankruptcy?					
	☐ No ☐ Yes	If you answered YES , please explain and submit a court document.					
5.	Since your last applications or fraud in any	cation have you been convicted of a misdemeanor or felony involving gambling, dishonesty					
	☐ No ☐ Yes	If you answered YES , please explain and submit a final court disposition for each charge.					

6.		Since your last application have you been indicted, charged, arrested, convicted, plead guilty, no contest, or forfeited bail for any felony or misdemeanor offense in any jurisdiction?					
	□ No □ Yes If yo	answered YES , please explain and submit final court dispositions for all cases.					
7.		you been convicted of either a felony or misdemeanor, or had said conviction le under the laws of any jurisdiction?					
	□ No □ Yes If yo	answered YES , please explain and submit final court dispositions for all cases.					
8.	Since your last application has jurisdiction?	our gaming license been fined, suspended, restricted, or revoked in any					
	∟ No ∟ Yes If yo	answered YES , please explain.					
		COMPLIANCE STATEMENT					
	By acceptance of a license issued pursuant to this application, I agree to comply with the rules and regulations of the Nebraska Racing and Gaming Commission (NRGC); the laws of the United States of America, the statutes of the State of Nebraska, Municipalities and other subdivisions. I hereby certify that the information and statements I have provided herein are true and correct. I further certify that I understand all of the statements above and further authorize all consumer reporting agencies to release to the NRGC any information requested by them in connection with the background investigation and processing of this application. I understand that failure to disclose all information completely and accurately may result in refusal to issue, denial, suspension, revocation, or cancellation of this license and/or other disciplinary action by the Board of Stewards and/or the Commission. I have read and understand the foregoing statements and conditions and knowingly and voluntarily attach my signature hereunto.						
		PRINTED Applicant's Name					
		Applicant's SIGNATURE					

Privacy Act Statement

This privacy act statement is located on the back of the <u>FD-258 fingerprint</u> card.

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.

L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as

permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

As of 03/30/2018

The FBI Privacy Act Statement can be found at https://www.fbi.gov/services/cils/compact council/privacy-act-statement.

Applicant Notification of Procedures for Obtaining an Amendment to an FBI Record

Your fingerprints will be used to check the criminal history records of the FBI. You have the opportunity to complete or challenge the accuracy of the information contained in the FBI identification record. The procedure for obtaining a change, correction, or update of an FBI criminal history record are set forth at 28 CFR 16.34. Information regarding this process may be found at https://www.fbi.gov/services/cjis/identity-history-summary-checks and https://www.edo.cjis.gov.